

10/540072

ocket No. 4791-4005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE **JG20 Rec'd PTO 22 JUN 2005**

Applicant(s): STRÖDER, Michael; ANASTASIJEVIC, Nikola; WILLERT-PORADA, Monika; and GERDES, Thorsten

Serial No.: Not Yet Assigned

Filed: June 22, 2005 (Herewith)

For: METHOD AND PLANT FOR THE THERMAL TREATMENT OF GRANULAR SOLIDS

Group Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

**EXPRESS MAIL CERTIFICATE**

Mail Stop Box PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Express Mail Label No.: **EV 623606758 US**  
Date of Deposit: June 22, 2005

I hereby certify that the following attached paper(s) and/or fee:

1. Transmittal letter to the US Designated Elected Office Concerning Filing Under 35 USC 371 (2 pps);
2. Preliminary Amendment (5 pp);
3. Information Disclosure Statement (1 pp);
4. PTO Form 1449 (1 pp) and (4) Cited References;
5. Published International Application with International Search Report;
6. Notice Informing the Applicant of the Communication of the International Application to the Designated Offices (1 pp);
7. Declaration (8 pp);
8. Copy of Written Opinion (2 pp);
9. Notification of Transmittal of International Preliminary Examination Report and International Preliminary Examination Report (3 pp);
10. Return Receipt Postcard.

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Albert Isles

(Typed or printed name of person mailing papers(s) and/or fee)

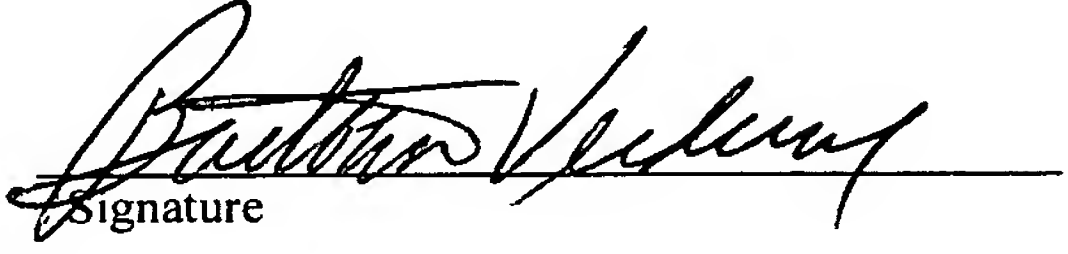
*Albert Isles*

(Signature of person mailing paper(s) and/or fee)

**Correspondence Address:**

MORGAN & FINNEGAN, L.L.P.  
3 World Financial Center  
New York, NY 10281 2101  
(212) 415-8700 Telephone  
(212) 415-8701 Facsimile

FORM PTO-1390 (REV 10-2004)		ATTORNEY DOCKET NUMBER  4791-4005
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		U.S. PATENT NO. (37 CFR 1.51) <b>10/540072</b> TBA
INTERNATIONAL APPLICATION PCT/EP2003/013210	INTERNATIONAL FILING DATE 25 November 2003	PRIORITY DATE CLAIMED 23 December 2002
TITLE OF INVENTION METHOD AND PLANT FOR THE THERMAL TREATMENT OF GRANULAR SOLIDS		
APPLICANT(S) FOR DO/EO/US STRÖDER, Michael; ANASTASIJEVIC, Nikola; WILLERT-PORADA, Monika; and GERDES, Thorsten		
<p>Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.</li> <li>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)).</li> <li>4. <input checked="" type="checkbox"/> The US has been elected. (Article 31).</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))             <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input type="checkbox"/> An English language translation of the International application as filed (35 U.S.C. 371(c)(2)).             <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ol> </li> <li>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))             <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input checked="" type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li>10. <input type="checkbox"/> An English translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol> <p>Items 11. to 20. below concern document(s) or information included:</p> <ol style="list-style-type: none"> <li>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>13. <input checked="" type="checkbox"/> A preliminary amendment.</li> <li>14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</li> <li>15. <input type="checkbox"/> A substitute specification.</li> <li>16. <input type="checkbox"/> A power of attorney and/or change of address letter.</li> <li>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 C.F.R. 1.821-1.825.</li> <li>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</li> <li>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</li> <li>20. <input checked="" type="checkbox"/> Other items or Information: Copy of Published Application; Notification of Transmittal of the International Search Report or the Declaration; International Search Report; Notice Informing the Applicant of the Communication of the International Application to the Designated Offices; Return Receipt Postcard.</li> </ol>		

U.S. APPLICATION NO. <b>10/540072</b> TBA		INTERNATIONAL APPLICATION NO. PCT/EP2003/013210		ATTORNEY'S DOCKET NO. 4791-4005	
21. The following fees are submitted:					
<input checked="" type="checkbox"/> a) Basic national fee		\$300.00		\$ 300.00	
<input checked="" type="checkbox"/> b) Examination fee		\$200.00		\$ 200.00	
<input checked="" type="checkbox"/> c) Search fee		\$500.00		\$ 400.00	
<b>TOTAL OF ABOVE CALCULATIONS =</b>		<b>\$1,000.00</b>		<b>\$ 900.00</b>	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
16- 100 =	/50 =		x \$250.00	\$ 0	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	10-20	0	x \$50.00	\$	
Independent claims	1-3	0	x \$200.00	\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360.00	\$	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$ 900.00</b>	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	
<b>SUBTOTAL =</b>				<b>\$ 900.00</b>	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+	\$
<b>TOTAL NATIONAL FEE =</b>				<b>\$ 900.00</b>	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+	\$ .00
<b>TOTAL FEES ENCLOSED =</b>				<b>900.00</b>	
				Amount to be refunded:	\$
				Amount to be charged:	\$900.00
<p>a. <input type="checkbox"/> A check in the amount of \$_____ to cover the above fees is enclosed.</p> <p>b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>13-4500</u>, Order No. <u>4791-4005</u> in the amount of \$900.00 to cover the above fees.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>13-4500</u>, Order No. <u>4791-4005</u>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.</p> <p><b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p>					
SEND ALL CORRESPONDENCE TO:					
<b>Bartholomew Verdirame</b> MORGAN & FINNEGAN, LLP 3 World Financial Center New York, NY 10281 TEL. (212) 415-8700 FAX. (212) 415-8701			 Signature  <u>Bartholomew Verdirame</u> Name  <u>28,483</u> Registration Number		